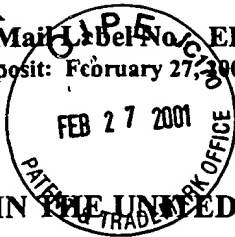


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Date of Deposit: February 27, 2001



Attorney Docket No. 22097-008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Amariiei, *et al*

Filing Date: February 20, 2001

Serial No.: 09/1539651

FOR : NEGOTIATION USING INTELLIGENT AGENTS

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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

The undersigned hereby certifies that this document (along with any paper or fee referred to as being enclosed) is being placed in the United States mail Express Mail # EF371227730US, on the date indicated below addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Yong S. Choi  
Date: February 27, 2001

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement (3 pgs.);
- ☒ Return Postcard.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 22097-008. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Richard Gervase, Reg. No.: P46,725  
Yong S. Choi, Reg. No.: 43,324  
c/o MINTZ, LEVIN  
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Boston, Massachusetts 02111  
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Amariiei, *et al*

ASSIGNEE:

SERIAL NUMBER: 09/1539651

EXAMINER:


FILING DATE: February 20, 2001

ART UNIT:

FOR: NEGOTIATION USING INTELLIGENT AGENTS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed therein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an enveloped addressed to: Assistant Commissioner for Patents, Washington, D.C. 20031 on February 27, 2001.

  
Yong S. Choi

February 27, 2001  
Boston, Massachusetts

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

**Foreign Patent Documents:**

A3. Buckle, Philip. EP, 1,041,500A2, Flexible agent-based negotiators. 04,10,2000/40.

**Other Prior Art – Non Patent Literature Documents:**

- A1. G. Sierra, P. Faratin and N. Jennings: "A Service-Oriented Negotiation Model between Autonomous Agents", Proc. 8<sup>th</sup> European Workshop on Modeling Autonomous Agents in a Multi-Agent World (MAAMAW-97), Ronneby, Sweden, 17-35
- A2. P. Faratin, C. Sierra, and N.R. Jennings (1998) Negotiation Decision Functions for Autonomous Agents Int. Journal of Robotics and Autonomous Systems 24 (3-4) 159-182.
- A4. H. Raiffa. The Art and Science of Negotiation. Harvard University Press, Cambridge, U.S.A., 1982.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the National Application;
- ☐ within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International Application; or
- ☒ before the mailing date of a first Office Action on the merits in the above-identified case.

Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

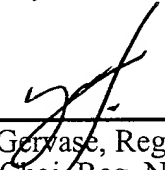
A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: Amariiei, *et al*  
U.S.S.N.:

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 22097-008.

Respectfully submitted,



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Dated: February 27, 2001

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